	C	ase 1:04-cv-12011-MLvv Document	וט	-13 Filed 10/06/2006 Page 1 01 3
		Page 109		Page 111
1	Q	You will agree with me if the winch is let go, the	1	A I'm not sure if on the MY WAY it's a separate
2		winch is let go, okay, then there is going to be	2	control, but in order for the winch to free spool,
3		relative movement of the door relative to the safety	3	the clutch has to be disengaged, clutching
4		chain, correct?	4	mechanism.
5	Α	If the winch is let go, the door is going to drop	5	MR. ANDERSON: Can you draw the winch
6		into the sea and keep on going until the winch is	6	controls on the MY WAY. The little scribble drawing
7		stopped. It's not going to move a short amount and	7	that we talked about before, why don't we just mark
8		stop by itself.	8	the scribble as
9	0	You are talking about on those Marco winches?	9	MR. REGAN: I think it's 8. I want to
10	A		10	say for the record
11	Q	When you say "let go," how do you define that?	11	MR. ANDERSON: Some is my writing and
12	-	I'm just trying to Maybe I should ask you to	12	some is his writing. I just wanted to mark it.
13	^	define what you mean by "let go."	13	[Exhibit 8 marked for identification]
14	0	I didn't define it. Describe how that Marco winch	14	[Exhibit 9 marked for identification]
15	Y	on the MY WAY works.	15	Q I'll give you a pad of paper and mark with my red
16	٨		16	pen as Exhibit 9, can you outline how the controls
17	А	It's a hydraulic winch with a hydraulic clutch.	17	work. I'll give you a blue pen.
18		When the clutch or the operating mechanism is	18	A What I will agree to do is give you a basic
19		engaged, the winch drum turns and lifts the load,	19	schematic of a hydraulic winch similar to this as
20		lifts the wire, spools up the wire. When the winch	20	long as you understand it's not a detailed schematic
		is stopped or put in neutral, there is a brake that	21	or the exact mechanism on this vessel because I have
21		is set to prevent the load if it's still on the		
22		winch from free spooling, so the brake is set as the	22	not for the purposes of this looked into the design
23		stop. And if you want to let the load go and let it	24	of the hydraulic system of the MY WAY. But generally I'll give you a basic hydraulic winch and
24		drop into the sea to set the doors out again, you	24	generally I'll give you a basic flydraulic which and
		Page 110		Page 11
1		disengage the brake and it can free spool.	1	hydraulic system drawing.
2	Q	Is it your testimony that when you There is a	2	Q Do you know how the winch controls on the MY WAY
3		control You have been on the MY WAY, correct?	3	work?
4	Α	Yes.	4	A Yes. I know if the winch is in neutral and the
5	Q	And there is a hydraulic control on the MY WAY,	5	clutch is disengaged, the brake has to be set to
6		correct?	6	prevent the load from free spooling. If the winch
7	Α	Yes.	7	is engaged, the clutch is engaged, you can go
8	Q	It's mounted on the winch itself?	8	forward or backward on the clutch using the
9	Α	Yes.	9	hydraulic.
10	Q	That hydraulic control has three positions, correct?	10	Q Do you know if there is a clutch control on the
11	A		11	winch on the MY WAY?
12	Q		12	A There is a clutch on every hydraulic winch.
13	Α		13	
14	Q	You let go of it and it goes back to neutral?	14	
15	A		15	, , , , , , , , , , , , , , , , , , , ,
16	Q		16	
17	,	pumps are running providing power to the winch on	17	
18		the MY WAY and there is a load on the winch, okay,	18	
19		and the control is placed to the neutral position,	19	
20		will the winch free spool on the MY WAY?	20	
21	А	If it's in neutral and disengaged, if the clutch is	21	Q And you have observed the winch, correct?
22		disengaged, the winch will free spool if the brake	22	
23		is not set.	23	
24	0	To the clutch a consister control?	124	O Very have seen the winds

24 Q Is the clutch a separate control?

24 Q You have seen the winch?

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- 1 A I have seen the winch.
- 2 O On the winch there is a control lever, correct?
- 3 A Yes.
- 4 Q And that control lever is spring loaded so if the
- 5 guy just lets go, it pops back to neutral?
- 6 A Correct. I believe, based on Mr. Lima's testimony,
- 7 too, that was off and out of gear and he had set the
- 8 brake.
- 9 Q Do you know whether on the FISHING VESSEL MY WAY
- whether the winch will free spool if you let go of 10
- 11 the winch control with the brake open, let go of the
- 12 winch control with the hydraulic engaged, do you
- 13 know whether it will free spool or not free spool?
- 14 A Not with the hydraulics engaged. If the clutch is
- 15 engaged, it won't free spool.
- 16 Q The winch on the MY WAY, the power for the winch is
- 17 provided by a hydraulic pump, correct?
- 18 A That's correct.
- 19 Q That hydraulic pump can be turned on or turned off,
- 20 and the hydraulic power to the winch can either be
- 21 turned on or off as the case may be, correct?
- 22 A Right.
- 23 Q There may be occasion when you just don't want to
- 24 provide any power to the winch, is that correct?

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- and power is provided to the winch, then the winch
- 2 is going to turn. If you disengage it to stop the
- 3 winch from turning and set the brake to stop, to
- 4 hold the load that you have on the winch head.
- 5 Q Does that control lever on the FISHING VESSEL MY
- 6 WAY, is that a hydraulic lever or mechanical lever?
- 7 A It's a hydraulic lever on the control. It's a
- 8 hydraulic control valve.
- Q Is it kind of like a tractor or front loader?
- A Similar. There is fluid flowing through the valve
- and it directs the fluid in one direction or 11
- 12 another, and the brake is a brake band around the
- 13 drum that stops the drum from turning.
- 14 Q But it's your testimony that when that hydraulic
- control lever that controls the hydraulic winch, 15
- 16 when that is placed in the neutral position, that
- 17 the winch on the MY WAY, main winch on the MY WAY
- 18 can move free spool unless the brake is applied?
- 19 A Correct.
- 20 Have you ever tested that? 0
- 21 Α On the MY WAY?
- 22 Q Yes.
- 23 A No, there was no reason to.
- 24 Q Is that true of every single commercial fishing

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- A That's correct. But the pump is still running.
- 2 Q Might be powering other types of equipment?
- 3 A Or recirculating the fluid.
- 4 Q If there is hydraulic power servicing the main winch
- 5 on the FISHING VESSEL MY WAY and if the operator
- 6 lets go of the hydraulic controls with the brake
- off, not engaged, will the, and if there is a load 8 on the main winch, will the main winch move or free
- 9 spool as we said?
- 10 A Yes.

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- Q Is that true whether the -- What do you base that 11
- 12
- 13 A My understanding of the operation of that winch, and
- 14 that's why they have brakes to prevent it from
- 15 dropping back into the sea. The brake prevents the
- 16 winch from free spooling.
- 17 Q If the brake isn't working, then the load, in this
- 18 case the door, will drop, correct?
- 19 A If the brake is defective and not holding, that's
- 20 what can happen, yes, it will drop back into the sea
- 21 when you stop the rewinding of the winch.
- 22 Q What if the hydraulic clutch is engaged and you put
- 23 it in neutral, what would happen?
- 24 A It disengages the clutch. If the clutch is engaged

- vessel that you are aware of?
 - A In general terms, yes. There may be some updated
 - 3 winches that have safeties built in, but that is
 - 4 basically the mechanism of a winch. They have
 - 5 hydraulic power or it can be mechanical power, a
 - 6 chain drive around the winch. And when the chain
 - 7 stops and you disengage it, you have to set the
 - 8 brake to prevent it, if there is a load on the other
 - 9 end, from dropping back into the sea. The way you
- 10 set the door is you disengage the brake, and the
- 11 drum is free spooling while the weight is dropping
- 12 to the bottom like a fishing reel.
- 13 Q You never tested it on the MY WAY?
- 14 Oh, no. I had no reason to.
- Q On the MY WAY, how would you use the clutch, then?
- 16 You said there is a crutch that is sometimes a
- 17 second control?
- 18 A The operating valve and the clutch are probably
- 19 synchronized in one mechanism so when you engage the
- 20 lever of the hydraulic control one direction or the
- 21 other, the clutch engages and turns the spool like a
- 22 fishing reel. If you engage the clutch on a fishing
- 23 reel and wind it up, you are going to haul that load
- 24 in. If you disengage it and have a fish on the end

29 (Pages 113 to 116)

		Page 117		0	Page 119
1		of the fishing reel, it's going to drop back in.	1	Q	A lot? A little? Was it Is it your
2	Q	Is it your testimony on the MY WAY it had an	2		understanding there was an excessive amount of
3		automatic clutch that engaged?	3		weight in the bag at the time of his accident?
4	Α	I don't know if it was a separate mechanism for the	4		Not excessive. A little more than average.
5		clutch or all part of the same operating valve	5		What is average on a fishing vessel?
6		mechanism. I would have to study that and look at	6	Α	I don't know. Just basing on the testimony and what
7		the blueprints.	7		they said, a little bit heavier than other loads but
8	_	But you have not done so, correct?	8		not heavier than they have ever had, a little more
9		I had no reason to.	9		than average.
10	Q	It's your testimony that if I were to stand at the	10	Q	, , , , , , , , , , , , , , , , , , , ,
11		winch control valve and take the winch control	11	Α	Total weight, I don't know.
12		lever, first of all take the brake and make it so	12	Q	
13		it's loose, not braking the winch at all Do you	13	Α	I don't know.
14		understand that?	14	Q	Five thousand pounds?
15		Disengage the brake.	15	Α	I don't know without inquiring as to the amount of
16	Q	disengage the brake, now I take	16		weight that they pull up on a Depends on several
17		And disengage the operating mechanism in the clutch?	17		factors, where they are fishing, what kind of fish
18	Q	Never even touch the operating mechanism, haul back	18		they are fishing for, the density of the fish.
19		with the control levers so I'm pulling in wire and	19	Q	What is your opinion of the sea conditions which
20		take the control lever and put it to the neutral	20		were present at the time of Mr. Aguiar's accident?
21		position	21		What's your opinion as to how far away from the rail
22	Α	You are disengaging the operating mechanism when you	22		the door swung, would be swinging given that sea
23		do. The winch is no longer turning so you are	23		state at the time of Mr. Aguiar's accident?
24		disengaging the turning mechanism. It's either	24		MR. REGAN: Objection. Go ahead.
			_		
		Page 118			Page 12
1			١.		
		forward, reverse or neutral. When it's neutral, it	1	Α	Far enough away to create a strain on the safety
2		will free spool if there is a weight on the end of	2	Α	Far enough away to create a strain on the safety chain that he was placing on the chain on the door
3		will free spool if there is a weight on the end of it or a load pulling it off the winch unless the	2 3	А	Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop
3		will free spool if there is a weight on the end of it or a load pulling it off the winch unless the brake is set.	2 3 4		Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop ring placed over the hook.
3 4 5	Q	will free spool if there is a weight on the end of it or a load pulling it off the winch unless the brake is set. So we're clear about your testimony I want to ask	2 3 4 5	Q	Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop ring placed over the hook. Do you know the length of the safety chain?
3 4 5 6	Q	will free spool if there is a weight on the end of it or a load pulling it off the winch unless the brake is set. So we're clear about your testimony I want to ask you, this is as I understand it: As I understand it	2 3 4 5 6	Q	Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop ring placed over the hook. Do you know the length of the safety chain? I don't know the exact length of the chain on that
3 4 5 6 7	Q	will free spool if there is a weight on the end of it or a load pulling it off the winch unless the brake is set. So we're clear about your testimony I want to ask you, this is as I understand it: As I understand it that you believe that the way the winch on the main,	2 3 4 5 6 7	Q	Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop ring placed over the hook. Do you know the length of the safety chain? I don't know the exact length of the chain on that date and the distance away that he was trying to
3 4 5 6 7 8	Q	will free spool if there is a weight on the end of it or a load pulling it off the winch unless the brake is set. So we're clear about your testimony I want to ask you, this is as I understand it: As I understand it that you believe that the way the winch on the main, the port side main winch on the FISHING VESSEL MY	2 3 4 5 6 7 8	Q	Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop ring placed over the hook. Do you know the length of the safety chain? I don't know the exact length of the chain on that
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3 4 5 6 7 8 9	Q	will free spool if there is a weight on the end of it or a load pulling it off the winch unless the brake is set. So we're clear about your testimony I want to ask you, this is as I understand it: As I understand it that you believe that the way the winch on the main, the port side main winch on the FISHING VESSEL MY WAY works is that when you are hauling in a load, you would take the brake off so it is not applying	2 3 4 5 6 7 8	Q	Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop ring placed over the hook. Do you know the length of the safety chain? I don't know the exact length of the chain on that date and the distance away that he was trying to attach it. All I know it became taught and opened up. Do you know whether the door would swing away from
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3 4 5 6 7 8 9 10 11 12	Q	will free spool if there is a weight on the end of it or a load pulling it off the winch unless the brake is set. So we're clear about your testimony I want to ask you, this is as I understand it: As I understand it that you believe that the way the winch on the main, the port side main winch on the FISHING VESSEL MY WAY works is that when you are hauling in a load, you would take the brake off so it is not applying	2 3 4 5 6 7 8 9	Q A	Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop ring placed over the hook. Do you know the length of the safety chain? I don't know the exact length of the chain on that date and the distance away that he was trying to attach it. All I know it became taught and opened up. Do you know whether the door would swing away from the vessel more than an inch? I'm assuming it's more than an inch.
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3 4 5 6 7 8 9 10 11 12 13 14	Q	will free spool if there is a weight on the end of it or a load pulling it off the winch unless the brake is set. So we're clear about your testimony I want to ask you, this is as I understand it: As I understand it that you believe that the way the winch on the main, the port side main winch on the FISHING VESSEL MY WAY works is that when you are hauling in a load, you would take the brake off so it is not applying any braking pressure; that you then turn the hydraulic valve so you are hauling in the main wire; the main wire is hauled in to the appropriate point at which point you take the hydraulic valve and	2 3 4 5 6 7 8 9 10 11 12	Q A Q	Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop ring placed over the hook. Do you know the length of the safety chain? I don't know the exact length of the chain on that date and the distance away that he was trying to attach it. All I know it became taught and opened up. Do you know whether the door would swing away from the vessel more than an inch? I'm assuming it's more than an inch. Do you have an opinion as to whether it's more than an inch?
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3 4 5 6 7 8 9 10 11 12 13 14 15	Q	will free spool if there is a weight on the end of it or a load pulling it off the winch unless the brake is set. So we're clear about your testimony I want to ask you, this is as I understand it: As I understand it that you believe that the way the winch on the main, the port side main winch on the FISHING VESSEL MY WAY works is that when you are hauling in a load, you would take the brake off so it is not applying any braking pressure; that you then turn the hydraulic valve so you are hauling in the main wire; the main wire is hauled in to the appropriate point at which point you take the hydraulic valve and place it in neutral and do nothing else; when you do	2 3 4 5 6 7 8 9 10 11 12 13 14 15	Q A Q A Q	Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop ring placed over the hook. Do you know the length of the safety chain? I don't know the exact length of the chain on that date and the distance away that he was trying to attach it. All I know it became taught and opened up. Do you know whether the door would swing away from the vessel more than an inch? I'm assuming it's more than an inch. Do you have an opinion as to whether it's more than an inch? Yes, it would be more than an inch.
3 4 5 6 7 8 9 10 11 12 13 14 15 16		will free spool if there is a weight on the end of it or a load pulling it off the winch unless the brake is set. So we're clear about your testimony I want to ask you, this is as I understand it: As I understand it that you believe that the way the winch on the main, the port side main winch on the FISHING VESSEL MY WAY works is that when you are hauling in a load, you would take the brake off so it is not applying any braking pressure; that you then turn the hydraulic valve so you are hauling in the main wire; the main wire is hauled in to the appropriate point at which point you take the hydraulic valve and place it in neutral and do nothing else; when you do that, on the FISHING VESSEL MY WAY, will the spool	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	Q A Q A Q	Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop ring placed over the hook. Do you know the length of the safety chain? I don't know the exact length of the chain on that date and the distance away that he was trying to attach it. All I know it became taught and opened up. Do you know whether the door would swing away from the vessel more than an inch? I'm assuming it's more than an inch. Do you have an opinion as to whether it's more than an inch? Yes, it would be more than an inch. Do you have an opinion as to whether it would be
3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	A	will free spool if there is a weight on the end of it or a load pulling it off the winch unless the brake is set. So we're clear about your testimony I want to ask you, this is as I understand it: As I understand it that you believe that the way the winch on the main, the port side main winch on the FISHING VESSEL MY WAY works is that when you are hauling in a load, you would take the brake off so it is not applying any braking pressure; that you then turn the hydraulic valve so you are hauling in the main wire; the main wire is hauled in to the appropriate point at which point you take the hydraulic valve and place it in neutral and do nothing else; when you do that, on the FISHING VESSEL MY WAY, will the spool of wire rope free spool now?	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	Q A Q A Q	Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop ring placed over the hook. Do you know the length of the safety chain? I don't know the exact length of the chain on that date and the distance away that he was trying to attach it. All I know it became taught and opened up. Do you know whether the door would swing away from the vessel more than an inch? I'm assuming it's more than an inch. Do you have an opinion as to whether it's more than an inch? Yes, it would be more than an inch. Do you have an opinion as to whether it would be more than six inches?
3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	A	will free spool if there is a weight on the end of it or a load pulling it off the winch unless the brake is set. So we're clear about your testimony I want to ask you, this is as I understand it: As I understand it that you believe that the way the winch on the main, the port side main winch on the FISHING VESSEL MY WAY works is that when you are hauling in a load, you would take the brake off so it is not applying any braking pressure; that you then turn the hydraulic valve so you are hauling in the main wire; the main wire is hauled in to the appropriate point at which point you take the hydraulic valve and place it in neutral and do nothing else; when you do that, on the FISHING VESSEL MY WAY, will the spool of wire rope free spool now? If there is a load pulling it off, it will.	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	Q A Q A Q	Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop ring placed over the hook. Do you know the length of the safety chain? I don't know the exact length of the chain on that date and the distance away that he was trying to attach it. All I know it became taught and opened up. Do you know whether the door would swing away from the vessel more than an inch? I'm assuming it's more than an inch. Do you have an opinion as to whether it's more than an inch? Yes, it would be more than an inch. Do you have an opinion as to whether it would be more than six inches? It could be six inches. My understanding is that he
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3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	A Q	will free spool if there is a weight on the end of it or a load pulling it off the winch unless the brake is set. So we're clear about your testimony I want to ask you, this is as I understand it: As I understand it that you believe that the way the winch on the main, the port side main winch on the FISHING VESSEL MY WAY works is that when you are hauling in a load, you would take the brake off so it is not applying any braking pressure; that you then turn the hydraulic valve so you are hauling in the main wire; the main wire is hauled in to the appropriate point at which point you take the hydraulic valve and place it in neutral and do nothing else; when you do that, on the FISHING VESSEL MY WAY, will the spool of wire rope free spool now? If there is a load pulling it off, it will. And your opinion in this case is based partly upon that understanding of that winch?	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	Q A Q A Q A	Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop ring placed over the hook. Do you know the length of the safety chain? I don't know the exact length of the chain on that date and the distance away that he was trying to attach it. All I know it became taught and opened up. Do you know whether the door would swing away from the vessel more than an inch? I'm assuming it's more than an inch. Do you have an opinion as to whether it's more than an inch? Yes, it would be more than an inch. Do you have an opinion as to whether it would be more than six inches? It could be six inches. My understanding is that he estimated that it appeared to move four to five inches.
3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	A Q	will free spool if there is a weight on the end of it or a load pulling it off the winch unless the brake is set. So we're clear about your testimony I want to ask you, this is as I understand it: As I understand it that you believe that the way the winch on the main, the port side main winch on the FISHING VESSEL MY WAY works is that when you are hauling in a load, you would take the brake off so it is not applying any braking pressure; that you then turn the hydraulic valve so you are hauling in the main wire; the main wire is hauled in to the appropriate point at which point you take the hydraulic valve and place it in neutral and do nothing else; when you do that, on the FISHING VESSEL MY WAY, will the spool of wire rope free spool now? If there is a load pulling it off, it will. And your opinion in this case is based partly upon that understanding of that winch? Very small part.	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	Q A Q A Q A Q	Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop ring placed over the hook. Do you know the length of the safety chain? I don't know the exact length of the chain on that date and the distance away that he was trying to attach it. All I know it became taught and opened up. Do you know whether the door would swing away from the vessel more than an inch? I'm assuming it's more than an inch. Do you have an opinion as to whether it's more than an inch? Yes, it would be more than an inch. Do you have an opinion as to whether it would be more than six inches? It could be six inches? It could be six inches. My understanding is that he estimated that it appeared to move four to five inches. And your opinions are that the door appeared to him
3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	A	will free spool if there is a weight on the end of it or a load pulling it off the winch unless the brake is set. So we're clear about your testimony I want to ask you, this is as I understand it: As I understand it that you believe that the way the winch on the main, the port side main winch on the FISHING VESSEL MY WAY works is that when you are hauling in a load, you would take the brake off so it is not applying any braking pressure; that you then turn the hydraulic valve so you are hauling in the main wire; the main wire is hauled in to the appropriate point at which point you take the hydraulic valve and place it in neutral and do nothing else; when you do that, on the FISHING VESSEL MY WAY, will the spool of wire rope free spool now? If there is a load pulling it off, it will. And your opinion in this case is based partly upon that understanding of that winch? Very small part. What's your understanding how much weight was in the	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q A Q A Q A Q	Far enough away to create a strain on the safety chain that he was placing on the chain on the door to cause the hook to open up before he had the stop ring placed over the hook. Do you know the length of the safety chain? I don't know the exact length of the chain on that date and the distance away that he was trying to attach it. All I know it became taught and opened up. Do you know whether the door would swing away from the vessel more than an inch? I'm assuming it's more than an inch. Do you have an opinion as to whether it's more than an inch? Yes, it would be more than an inch. Do you have an opinion as to whether it would be more than six inches? It could be six inches. My understanding is that he estimated that it appeared to move four to five inches. And your opinions are that the door appeared to him to move four to five inches? Yes.

30 (Pages 117 to 120)